

## 5 CCR 22000

This document is current through Register 2022, No. 44, November 4, 2022

### **CA - Barclays Official California Code of Regulations > TITLE 5. EDUCATION > DIVISION 3. TEACHERS' RETIREMENT SYSTEM > CHAPTER 1. TEACHERS' RETIREMENT SYSTEM > ARTICLE 11. STATE TEACHERS' RETIREMENT SYSTEM--CONFLICT OF INTEREST CODE**

## **§ 22000. General Provisions**

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(a) Incorporation by Reference of Standard Code and Appendix. The Political Reform Act, [Government Code Section 81000](#) et seq., requires state and local government agencies to adopt and promulgate conflict of interest regulations. The Fair Political Practices Commission has adopted a regulation, [2 Cal. Code of Regulations Section 18730](#), which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of [2 Cal. Code of Regulations Section 18730](#) and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference. The Standard Code and the Appendix together constitute the Conflict of Interest Code of the California State Teachers' Retirement System (CalSTRS), except as provided in subsection (b) below.

(b) Filing Requirements. Designated individuals shall file statements of economic interests with CalSTRS, pursuant to Section 4 of the Standard Code. CalSTRS shall make such statements available for public inspection and reproduction. Corporate entities under contract serving as consultants to or contractors for CalSTRS need not file statements of economic interests; however, the natural person within the entity who provides the consulting or contractual services must file the statement of economic interests. With respect to officials who are determined to manage public investments, within the meaning of [Government Code Section 87200](#), they shall also be required to file statement of economic interests (contractors who fall within the meaning of managing public investments shall also be required to file statements of economic interests). Upon receipt of the statements of economic interests of Board Members, representatives of Ex-Officio Board Members, Chief Executive Officer, Chief Investment Officer, Deputy Chief Investment Officers, Directors in the Investment Branch, Portfolio Managers and others falling within the designation of officials who are determined to manage public investments, CalSTRS shall make and retain a copy and forward the original of these statements to the Fair Political Practices Commission. Statements of all other designated employees will be retained by CalSTRS.

## **Statutory Authority**

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### **AUTHORITY:**

NOTE: Authority cited: Article XVI, Section 17, California Constitution; [Sections 22209 and 22210, Education Code](#); and Sections 87034 and 87300, Government Code. Reference: Sections [22205](#), [22205.2](#) and [22209, Education Code](#); [Sections 87200 et seq. and 87300 et seq., Government Code](#); and Section 187300, Title 2, California Code of Regulations.

## **History**

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## 5 CCR 22000

## HISTORY

1. New article 11 (sections 22000-22007, Exhibits A and B) filed 6-2-78; effective thirtieth day thereafter. Approved by Fair Political Practices Commission 2-9-78 (Register 78, No. 22).
2. Repealer of article 11 (sections 22000-22007 and Exhibits A and B) and new article 11 ([section 22000](#) and Appendix) filed 2-26-81; effective thirtieth day thereafter. Approved by Fair Political Practices Commission 12-1-80 (Register 81, No. 9).
3. Amendment of [section 22000](#) and repealer and new Appendix filed 2-14-86; effective thirtieth day thereafter. Approved by Fair Political Practices Commission 1-7-86 (Register 86, No. 7).
4. Amendment of section and Appendix filed 1-13-93; operative 1-12-93. Submitted to OAL for printing only pursuant to [California Code of Regulations title 2, section 18750](#) (Register 93, No. 3).
5. Amendment of subsections (a) and (b), NOTE and Appendix filed 5-20-96; operative 6-19-96. Approved by Fair Political Practices Commission 3-29-96 (Register 96, No. 21).
6. Amendment of subsection (b) and Appendix filed 3-31-98; operative 4-30-98. Approved by Fair Political Practices Commission 2-6-98 (Register 98, No. 14).
7. Amendment of Appendix filed 3-20-2000; operative 4-19-2000. Approved by Fair Political Practices Commission 1-24-2000 (Register 2000, No. 12).
8. Amendment of appendix filed 11-26-2001; operative 12-26-2001. Approved by Fair Political Practices Commission 10-2-2001 (Register 2001, No. 48).
9. Amendment of general provisions and Appendix filed 7-12-2005; operative 8-11-2005. Approved by Fair Political Practices Commission 5-16-2005 (Register 2005, No. 28).
10. Amendment of general provisions, Appendix and NOTE filed 1-23-2014; operative 2-22-2014 pursuant to [Cal. Code Regs., tit. 2, section 18750\( l\)](#). Approved by Fair Political Practices Commission 12-24-2013 and submitted to OAL for filing and printing only pursuant to [Cal. Code Regs., tit. 2, section 18750\(k\)](#) (Register 2014, No. 4).
11. Amendment of Appendix A filed 2-6-2017; operative 3-8-2017 pursuant to [Cal. Code Regs., tit. 2, section 18750\( l\)](#). Approved by the Fair Political Practices Commission 1-26-2017 and submitted to OAL for filing and printing only pursuant to [Cal. Code Regs., tit. 2, section 18750\(k\)](#) (Register 2017, No. 6).

## **5 CCR 22000 Appx. A**

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### **APPENDIX A**

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## 5 CCR 22000 Appx. A

Designated Positions	Disclosure Category
Assistant Chief Counsel	1
Attorney (All Levels)	1
Career Executive Assignment (All Levels)	1
Chief Financial Officer	1
Chief Operating Officer	1
General Counsel	1
Information Technology Manager, (Supervisory) (All Levels)--General Counsel Branch	1
Investment Officer (All Levels)	1
System Actuary	1
Accounting Administrator (All Levels)	2
Associate Governmental Program Analyst, Procurement Management--Acquisitions Unit	2
Financial Accountant III, IV, V	2
Pension Program Manager (All Levels)	2
Research Data Supervisor	2
Research Manager (All Levels)	2
Senior Management Auditor	2
Staff Administrative Analyst--Accounting Systems	2
Staff Services Manager (All Levels)	2
Supervising Management Auditor	2
Information Technology Manager (All Levels)	3
Information Technology Supervisory (All Levels)	3

### Consultants/New Positions

\*Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

With respect to consultants/new positions, the Chief Executive Officer may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus, is not required to comply fully with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. ([Government Code Section 81008.](#))

### Disclosure Categories

The following disclosure categories shall be subject to the terms, definitions, and conditions of the standard Conflict of Interest Code and any amendments thereto incorporated by reference in subparagraph (a) of Section 22000 above.

#### Category 1:

Designated individuals in Category 1 must report:

All interests in real property, all investments, all sources of income, including receipt of gifts, loans and travel payments, and all business positions in business entities.

#### Category 2:

Designated individuals in Category 2 must report:

Income, including receipt of gifts, loans and travel payments, from and investments and business positions in, any source which is of the type to contract with the California State Teachers' Retirement System to provide services, supplies, materials, machinery or equipment to the System.

#### Category 3:

Designated individuals in Category 3 must report:

Income, including receipt of gifts, loans and travel payments from, and investments and business positions in, any source which is of the type to contract with the division, branch or department of the designated position to provide services, supplies, materials, machinery or equipment to the same.

*\* Gift disclosure: Not all gifts are reportable. For example, a filer does not have to disclose gifts based on a personal friendship where there is no connection between the donor and the duties that the filer performs. This exception and others are outlined in statute and regulations. FPPC regulation 18942 summarizes the major gift exceptions including those from family members, gifts of home hospitality, gifts related to holidays and certain prizes and awards.*

### OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that individuals in the positions listed below are officials who manage public investments, within the meaning of [Government Code Section 87200](#), and will file the Form 700 Statement of Economic Interests:

Board Members

Representatives of Ex-Officio Board Members

Chief Executive Officer

Chief Investment Officer

Deputy Chief Investment Officer

Chief Operating Investment Officer

Directors -- Investment Branch

Portfolio Managers (All)

Consultants Who Manage Public Investments Note: Please see [California Code of Regulations, Title 2, 18700.3](#) to determine filers under the "Consultants Who Manage Public Investments" designation.

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